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|---|---|--|---|------------------|-------------------------|--|----------------|--|
| | | Application Number | | 10/519,110 | | | | |
| TRANSMITTAL | | Filing Date | July 2, 2003 | | | | | |
| FORM | | | First Named Inventor | Etcheberrigaray | | | | |
| | | Art Unit | 1614 | | | | | |
| (to be used for all correspondence affect initial files) | | Examiner Name | Not Y | Not Yet Assigned | | | | |
| (to be used for all correspondence after initial filing) | | Attorney Docket Number | 17357.01001US | | | | | |
| Total Number of Fuges II Fine Submission | | | | | | | | |
| ENCLOSURES (Check all that apply) | | | | | | | | |
| Fee Transmitta | al Form | | Orawing(s) | | | After Allowance Communication to | o TC | |
| Fee Attached | | 🗌 ι | icensing-related Papers | | | Appeal Communication to Board of Appeals and Interferences | | |
| Amendment/Reply | | | Petition | | | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) | ļ | |
| | | Petition to Convert to a Provisional Application | | | Proprietary Information | | | |
| | | Power of Attorney, Revocation Change of Correspondence Address | | | Status Letter | • | | |
| Extension of Time Request | | | · ' ' - | | \mathbf{x} | Other Enclosure(s) (please Identifibelow): | y | |
| Express Abandonment Request | | | Request for Refund | | | | | |
| | | | CD, Number of CD(s) | <u></u> | | | | |
| | | | Landscape Table on CD |) | | | | |
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| Roply to Missing Parts/ | | | 1. Request for Corrected Filing Receipt | | | | | |
| Incomplete Application 2. | | 2. C | Copy of Corrected Filing Receipt with corrections | | | | | |
| under 37 CFR 1.52 or 1.53 | | | | | | | | |
| | | • | | | | | | |
| | SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | | | | |
| Firm Name M | | | | | | | | |
| Signature | | | | | | | | |
| Printed name Ja | James R. Hughes | | | | | | | |
| Date Ja | Date January 23 , 2007 Reg. No. 45,657 | | | | | | | |
| | | | | | - | | $\overline{}$ | |
| CERTIFICATE OF TRANSMISSION/MAILING | | | | | | | | |
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PATENT Attorney Docket No. 17357.01001US

OLE E STORY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of: |) |
|--|------------------------------|
| Rene Etcheberrigaray et al. |) Group Art Unit: 1614 |
| Patent Application No. 10/519,110 |) Examiner: Not Yet Assigned |
| Filed: July 2, 2003 |) |
| For: PKC Activation As A Means For Enchancing sAPPa Secretion and Improving Cognition Using Bryostatin Type Compounds |)))) |

Commissioner of Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

SECOND REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Applicants respectfully request correction of the Corrected Filing Receipt (Confirmation No.

9509) issued on January 18, 2007. Specifically, please change the following:

Title of Invention:

from **Pkc** activation as a means for enhancing **sappa** secretion and improving cognition using byrostatin type compounds" to "**PKC** activation as a means for enhancing **sAPP** alpha secretion and improving cognition using bryostatin type compounds".

Respectfully submitted,

MILBANK, TWEED, HADLEY & MCCLOY LLP

Dated: January 23, 2007

James R. Hughes

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UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEFAUTHERT OF COMMIT United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Vignis 22313-1450 www.uspto.gov

FILING OR 371 APPL NO ART UNIT FIL FEE REC'D ATTY.DOCKET NO DRAWINGS (c) DATE **TOT CLMS** IND CLMS 10/519,110 02/03/2006 1614 1290 17357.01001US 16 49 3

CONFIRMATION NO. 9509

CORRECTED FILING RECEIPT

OC000000022053143

38647 MILBANK, TWEED, HADLEY & MCCLOY LLP INTERNATIONAL SQUARE BUILDING 1850 K STRET, N.W., SUITE 1100 WASHINGTON, DC 20006

Date Mailed: 01/18/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Rene Etcheberrigaray, Bethesda, MD; Daniel L. Alkon, Bethesda, MD;

Assignment For Published Patent Application

Blanchette Rockefeller Neurosciences Institute, Bethesda Maryland, MD

Power of Attorney: The patent practitioners associated with Customer Number 38647.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US03/20820 07/02/2003 which claims benefit of 60/392,951 07/02/2002

Foreign Applications

If Required, Foreign Filing License Granted: 11/07/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/519,110**

Projected Publication Date: 02/15/2007

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

PKC

sAPP alpha

▶ Pke activation as a means for enhancing sappalpha, secretion and improving cognition using bryostatin type compounds

Preliminary Class

514

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Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filling of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filling of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

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